

Attorney Docket # 5106-7CIP2

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Chi ENG et al.

Serial No.: 10/816,365

Filed: April 1, 2004

For: Method and a System For Settlement of Trading
AccountsExaminer: Tieu, Binh kien
Group Art: 2643

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Name of applicant, assignee or Registered Representative

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October 24, 2005
Date of Signature

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INFORMATION DISCLOSURE STATEMENT

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed documents are also enclosed. The attached document was cited in a corresponding European Application.


It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b),

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If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE


By: 
Alfred W. Froeblich
Reg. No. 38,887
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

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Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for Form 1449/PTO <div style="text-align: center;">  </div> <div style="text-align: center;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) </div>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: right; padding: 5px;"><i>Complete if Known</i></th> </tr> <tr> <td style="width: 50%; padding: 5px;">Application Number</td> <td style="width: 50%; padding: 5px;">10/816,365</td> </tr> <tr> <td style="padding: 5px;">Filing Date</td> <td style="padding: 5px;">April 1, 2004</td> </tr> <tr> <td style="padding: 5px;">First Named Inventor</td> <td style="padding: 5px;">Chi ENG</td> </tr> <tr> <td style="padding: 5px;">Art Unit</td> <td style="padding: 5px;">2643 2614</td> </tr> <tr> <td style="padding: 5px;">Examiner Name</td> <td style="padding: 5px;">Tieu, Binh kien</td> </tr> <tr> <td style="padding: 5px;">Attorney Docket Number</td> <td style="padding: 5px;">5106-7CIP2</td> </tr> </table>	<i>Complete if Known</i>		Application Number	10/816,365	Filing Date	April 1, 2004	First Named Inventor	Chi ENG	Art Unit	2643 2614	Examiner Name	Tieu, Binh kien	Attorney Docket Number	5106-7CIP2
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Sheet 1 of 1															

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Examiner Signature	/BINH TIEU/	Date Considered	04/12/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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